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5th Annual

# RiskManagementConference

Pre-Conference Day: 28 July 2008 • Conference: 29 & 30 July 2008 • Public Sector Day: 31 July 2008 • Venue: Sydney Harbour Marriott

## Leading speakers include:



Kevin Knight,  
Convenor,  
ISO TMB WG on Risk  
Management



Grant Purdy,  
Chair,  
Standards Australia &  
Standards NZ Risk  
Management Committee



Michael Hamar,  
Chief Risk Officer,  
NAB



Mike O'Loughlin,  
GM Risk Assessment  
& Audit,  
CSIRO



Phil O'Toole,  
Director Risk  
Management &  
Consulting, Services,  
Internal Audit Bureau,  
NSW



Martin Tolar,  
CEO,  
Australasian  
Compliance Institute



Peter Wilkinson,  
Group Manager for  
Operational Excellence  
and Risk,  
Caltex Australia



Sean Sweeny,  
Compliance Officer  
- Pharma Australasia,  
GlaxoSmithKline



Ted Dahms, Governance  
& Risk Management  
Consultant,  
Plum Concepts

### Plus:

Richard Dovison, Risk Manager, Toyota Motor Corporation Australia

Paul Muir, Executive Manager Risk & Compliance, Commercial Insurance, Suncorp

Robert Oldfield, Group Risk Officer, Group Risk Management - Group Risk & Compliance, QBE

...and more!

## Benefit from the opportunity to:

- **EXAMINE** the long term value add of risk management and the impact on organisational bottom line results
- **FIND OUT** the implications of the new ISO 31000:2009 standards
- **ASSESS** the challenges faced by risk managers in global financial markets
- **EVALUATE** accountability for risk, governance and compliance
- **ESTABLISH** a risk profile and align to business objectives
- **INTEGRATE** risk management into organisational culture

**NEW FOR 2008!  
SEPARATELY BOOKABLE**

### Pre-conference Masterclass

A practical guide to developing and implementing an effective Risk Management Framework  
28 July 2008

### Risk Management Strategies for the Public Sector

31 July 2008

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# Risk Management Conference 2008

Leveraging, driving and implementing risk management to protect your organisation

Dear Risk Professional,

It is my pleasure to invite you to *Risk Management Magazine's* 5th Annual Risk Management Conference

As the premier event for risk professionals, the 5th Annual Risk Management Conference will bring together key risk practitioners in a strategic senior level conference that will provide delegates with practical information on key risk management issues to ensure their organisation is at the forefront of industry developments.

Against a backdrop of tighter regulation, global financial markets turmoil, climate change debate and company collapses, effective risk management is vital. Now more than ever, organisations need to define their risk profile, implement strategies to anticipate threats and understand the link between risk, compliance and governance.

By attending this event you will hear case studies from across both industry and government on topics including effective risk integration and implementation, strategies and techniques to measure and monitor risk, implications of the new ISO 31000:2009 standard and perspectives on managing risk in unstable global financial markets.

You will benefit from four interactive days including a Pre-Conference Masterclass and Post Conference Forum on Managing Risk in the Public Sector. Take advantage of panel sessions, accelerated networking and roundtable discussion groups and tailor a program that will provide you and your organisation with the tools and techniques that will assist you in your organisation. An unrivalled line up of speakers has been gathered from organisations including **Caltex, Suncorp, Brisbane City Council, NAB, Centrelink, GlaxoSmithKline, CSIRO, Toyota Motor Corporation Australia** and many more.

As the premier event for risk professionals I encourage you to book your place today and we look forward to seeing you at the Conference in July.

Yours sincerely

Craig Donaldson  
Associate Publisher  
Risk Management Magazine

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**Blake Dawson**

## Pre Conference Masterclass Monday 28 July 2008

### A Practical Guide to Developing and Implementing an Effective Risk Management Framework

#### Workshop background:

In a changing and uncertain world it is imperative that organisations have in place an effective and sustainable risk framework. Risk management can only be effectively managed if an organisational risk profile has been established and communicated throughout the organisation. Developing the risk framework is an effective decision making tool that assists in the selection of cost effective strategies using a systematic process. This practical workshop will provide the strategies and tools to enable your organisation to implement a risk management framework for your organisation.

#### Topics to be covered include:

##### Laying the foundations

- Using standards and building on existing principles and guidelines
- Setting the context
- Gaining senior management support
- Allocating resources
- Defining roles and responsibilities
- Reporting and monitoring

##### Setting up the framework

- Building the risk processes
- Gaining stakeholder buy-in
- Training stakeholders and management to implement the framework
- Avoiding the traps
- Risk control and compliance

#### About the workshop leader:

##### Sid Levett, *Principal*, Objective Risk Advisory Services



Sid specialises in providing advice on Enterprise-Wide Risk Management and Business Continuity Planning. He was formerly **General Manager - Risk at Amcor Limited** with responsibility for global risk management and insurance including a captive insurance company.



# Risk Management Conference

Day One Tuesday 29 July 2008

8:30 **Conference registration**

9:00 **Opening remarks from the Chair**

9.10 **Evaluating the implications of the new ISO 31000:2009 risk management standard**

- Outlining the objectives of the new ISO risk management standard ISO 31000: 2009
- How will the new standard aid in better defining risk
- Understanding how the new standard will link effective ERM and organisational objectives
- Assessing how the new standard will move risk management in a new direction
- How should organisations design and implement relevant, self-sustaining and value adding risk management?

Kevin Knight, *Convenor, ISO TMB WG on Risk Management*

9.40 **How to bring your ERM framework into line with ISO31000:2009 - a practical guide**

- Taking stock of your existing framework and practices
- Evaluation Risk Management Maturity
- Developing the 'cunning plan'
- Driving forward improvements through performance management
- Using governance reporting to stimulate improvements

Grant Purdy, *Chair, Standards Australia and Standards New Zealand Risk Management Committee, Nominated Expert, ISO Working Group on Risk Management*

10.20 **Morning tea**

10.50 **Evaluating the challenges faced by risk managers in global financial markets**

- Outlining the challenges faced by Chief Risk Officers by the credit crunch
- How has this impacted Australian financial markets
- Evaluating the impact global financial markets have had on organisational risk
- How have organisations met the challenges in re-addressing risk as a key business strategy to ensure that exposure to risk is effectively managed?

Michael Hamar, *Chief Risk Officer, NAB*

11.30 **Achieving an integrated risk framework by understanding the link between, risk compliance and governance**

- Demystifying corporate governance by examining the underlying processes
- Using these concepts to integrate risk management throughout your organisation
- Developing knowledge-based risk registers aligned to your organisation's objectives
- Examining the concepts of responsibility, accountability and assurance and their interaction in the governance process
- Blending these concepts into a control assurance plan for implementing sound, cost effective corporate governance

Ted Dahms, *Governance and Risk Management Consultant, Plum Concepts*

12.10 **Evaluating accountability for risk, governance and compliance in organisational frameworks**

- Proactively managing risk in an organisation
- Assessing the roles and interrelationship between risk, governance and compliance
- Challenges of integrating risk management and internal audit

*continued over*

Panel Discussion

- Outlining the framework necessary for an organisation to manage risk effectively
  - Risk based versus risk assessment – what is the formula for success?
- Kevin Knight, *Convenor, ISO TMB WG on Risk Management*  
Phil O'Toole, *Director Risk Management & Consulting, Services, Internal Audit Bureau, NSW*  
Ted Dahms, *Governance and Risk Management Consultant, Plum Concepts*

12.50 **Lunch**

2.00 **Practical keys for success in establishing a risk profile and aligning business objectives**

- The link between risk and corporate governance
- Importance of aligning risk management with business objectives
- Managing the cultural tension between compliance risk and financial reward

- Identifying and improving the risk profile

Sean Sweeny, *Compliance Officer - Pharma Australasia, GlaxoSmithKline*

2.40 **Integrating risk management into organisational culture and overcoming the challenges**

- First things first: gaining support for a new structure
- Project management and necessary steps in the integration process
- The importance of monitoring and implementing tools to monitor and report on the integration process
- Ensuring that changes are made to the organisation risk strategy from monitoring processes

Paul Muir, *Executive Manager Risk & Compliance, Commercial Insurance, Suncorp*

Mike O'Loughlin, *GM Risk Assessment & Audit, CSIRO*  
Sean Sweeny, *Compliance Officer - Pharma Australasia, GlaxoSmithKline*

Mark Martinelli, *Director, Protiviti*

3.20 **Afternoon tea**

3.40 **Assessing the role of internal audit and the interaction with organisational risk management**

- Assessing the relationship of senior management risk management and audit
- Evaluating internal auditors and their relationship to boards and senior management
- Preventing errors before an audit
- Utilising KPI's as an effective risk management tool
- Evaluating the relationship between risk and audit

Mike O'Loughlin, *GM Risk Assessment & Audit, CSIRO*

4.20 **Practical tools for implementing the new 31000: 2009 standard**

Kevin Knight, *Convenor, ISO TMB WG on Risk Management*

**Keys to developing a risk culture in your organisation**

Paul Muir, *Executive Manager Risk & Compliance, Suncorp*

**Issues in relation to opportunity-based risk management**

Mike O'Loughlin, *GM Risk Assessment & Audit, CSIRO*

**Business Continuity - Pandemic Simulation**

Constantin Busu, *Practice Principal, HP Business Continuity and Recovery Services*

Ken Fox, *Senior Business Continuity Consultant, HP Business Continuity and Recovery Services*

5.30 **Close of day one**

# Leveraging, driving and implementing risk management to pro

## Day Two Wednesday 30 July 2008

### 9.00 Opening remarks from the Chair

### 9.10 Convergence of Risk and Compliance

Exploring the observations on the growing trend to merge the Risk and Compliance functions within the business and what this can mean for the ever changing career of the Risk and Compliance Professional.

*Martin Tolar, Chief Executive Officer, Australasian Compliance Institute*

### 9.50 Developing a risk management framework to manage climate change

- The role of priority setting and allocating resources
- Building on existing risk management frameworks
- The role of risk assessments and stakeholder analysis

*Adam Kirkman, Director, Protiviti*

### 10.30 Morning tea

### 10.50 Effectively managing risk utilising just-in-time manufacturing

Case Study

- Outlining the approach to risk management at Toyota
- Defining the just-in-time approach implemented
- Incorporating this methodology into company culture
- Implementing measuring and monitoring techniques
- Evaluating how this approach has ensured a best practice approach to risk management

*Richard Dovison, Risk Manager, Toyota Motor Corporation Australia*

### 11.30 Implementing effective risk measurement and monitoring systems

Panel Discussion

- Creating an organisational framework to mitigate risk
- Understanding the cost of risk to the organisation
- Compiling and analysing risk data from across all areas of the business
- Ensuring day to day monitoring
- Assessing data against the risk profile of the business

*Peter Wilkinson, Group Manager, Operational Excellence and Risk, Caltex Australia*

*Richard Dovison, Risk Manager, Toyota Motor Corporation Australia*

*Robert Oldfield, Group Risk Officer, Group Risk Management - Group Risk & Compliance, QBE Australia*

### 12.15 Don't forget to bring your business cards!

This session provides a unique opportunity to meet conference delegates in a fast paced and interactive format.

#### Bring 100+ business cards

and ensure that this session provides the momentum to establish business contacts and networks that will benefit both you and your organisation.



Accelerated Networking

Major Sponsors:



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### 1.00 Lunch

### 2.00 Evaluating the long term value add of risk management and the impact on organisational bottom line results

- Outlining the key performance indicators for a successful risk strategy
- Evaluating where risk management needs to be positioned in an organisation
- Overcoming the challenges of embedding risk into organisational culture
- Risk management: long term value add to the organisation versus short term outcomes
- The challenges facing the successful implementation and integration of risk

*Grant Whitehorn, President, Risk Management Institute of Australia, RMIA*

### 2.40 What the business should understand before deciding on a provider

Case Study

This session will discuss JP Morgan's approach and methodology in building the organisation's robust business continuity plan. Presenting an overall session for Risk and COO/ Business Managers, topics include:

- Continuation of business vs recovery
- A random gamble of "if it happens" vs a calculated risk of "when it happens"
- Recovery cost vs risk P&L premonition
- Operation cost declination

*Shawn Brown, Regional Business Resiliency Manager, JPMorgan Chase Bank*

### 3.30 Afternoon tea

### 3.50 Assessing the role of Organisational Resilience in supporting organisational risk management

- How is the environment changing and how is this impacting organisations and risk management methodology?
  - What is Organisational Resilience and how does it integrate risk, security, emergency, business continuity and human resource management?
  - How does Organisational Resilience support Enterprise Risk Management?
  - Exploring Nassim Taleb's theory of the "Black Swan" - the impact of the highly improbable and its affect on risk management
  - Evaluating tools to assess and enhance Organisational Resilience
- Robert Oldfield, Group Risk Officer, Group Risk Management - Group Risk & Compliance, QBE*

### 4.30 Effectively utilising business continuity to support risk management

- Outlining the relationship between different standards
- Understanding the common thread between frameworks
- Setting business continuity benchmarking standards
- Achieving support from management and establishing a supportive culture
- Utilising risk management research to assist business continuity management
- Understanding the links between business continuity and risk

*Mandy Freestone, Director - National Business Continuity Management Team, Deloitte, and Queensland State Representative, Business Continuity Institute Australia*

### 5.10 Close of conference



Day Three Thursday 31 July 2008

## Risk Management for the Public Sector

8.30 **Conference registration**

9.00 **Opening remarks from the Chair**

Ted Dahms, *Governance and Risk Consultant, Plum Concepts*

9.10 **Evaluating the impact of the new ISO 31000 standard and differences from the current AS/ NZ4360:2004**

- Outlining the importance of the new ISO 31000 standard
- How does this standard differ from the current AS/NZ 4360:2004?
- Assessing implementation challenges
- Understanding the timeframe for implementation
- Evaluating the impact of the new standard on the public sector and how best the public sector utilise this standard

Grant Whitehorn, *President, Risk Management Institute of Australia, RMIA*

9.50 **Implementation challenges of the new 31000:2009 standard**

Q & A Session

This interactive question and answer session facilitated by the Chair will enable delegates to discuss and debate the implementation challenges faced in regards to the new standard. Have your questions ready and ensure that you come away armed with the information you need in order to ensure your organisation is equipped to meet the challenges.

10.20 **Morning tea**

10.50 **Effectively integrating OHS and risk utilising a risk based approach to provide the framework**

Case Study

- Outlining how the risk based approach was utilised to implement the program
- Defining the differences between risk management and hazard management
- Implementing sound monitoring and control processes
- How has this approach assisted in developing a robust health and safety systems within the department

Peter Brass, *General Manager, Risk Management & Audit, Primary Industries and Resources, South Australia*

11.30 **Centrelink: Implementing an effective policy and risk framework for success**

Case Study

- Providing a sound framework as the glue for success including an effective policy, business continuity plan, community emergency response plan and sound governance principles
- Implementing an account manager structure to facilitate risk management
- Outlining the role of the account managers including support of divisions and branches in all aspects of risk management including project risk management and facilitating workshops to develop risk plans and treatment strategies
- The role of the independent Chair of Centrelink's Audit Committee in reviewing and acknowledging Centrelink's risk management process as better practice within the Australian Public Service

Simone Cowley, *Business Manager, Planning & Risk Management, Centrelink*

Winner of the 2007 ComCover Award for Excellence in Enterprise-Wide Risk Management

12.10 **Overcoming the challenges of implementing and integrating risk management in the public sector**

Panel Discussion

- Ensuring risk management as a component of organisational strategy
- The role of good governance in establishing an accountable framework
- Linking project risk management with strategic risk management
- Developing government wide standards in relation to risk
- Culture change as the key to successful risk management implementation

Simone Cowley, *Business Manager, Planning & Risk Management, Centrelink*

Winners of 2007 ComCover Award for Excellence in Risk Management

Michael Byrne, *Manager, Corporate Risk Management, Brisbane City Council*

Harry Rosenthal, *Senior Manager, Risk Management Unit, University of NSW*

12.50 **Lunch**

2.00 **Using climate change to promote a risk management framework in public sector organisations**

Practical Workshop

Risk management can only be effectively managed in an organisation when the risks have been identified and understood and when a risk management plan is in place.

Using climate change as the issue this interactive afternoon session will provide you with the tools and techniques for developing a risk framework in your organisation.

Topics to be discussed:

- Framing the climate change issue in your organisation
- Using a risk management framework to address climate change
- Developing a strategy for organisation risk management
- Review and monitoring as key elements of the risk framework

Harry Rosenthal, *Senior Manager, Risk Management Unit, University of NSW*

Includes 3.30pm afternoon tea break

4.30 **Close of conference**

## Who should attend the 5th Annual Risk Management Conference 2008?

Chief Risk Officers  
Risk Managers  
Group Risk Officers  
Finance and Risk Managers  
Compliance Managers  
Systems Risk Managers  
Operational Risk Managers  
Risk and Assurance Professionals  
Chief Financial Officers  
Auditors and Internal Auditors  
Risk Consultants  
Business Continuity Managers  
Company Secretaries  
Information Security Officers

# Priority registration form

## 5th Annual Risk Management Conference

- Pre-Conference Masterclass: 28 July 08
- Conference: 29-30 July 08 • Public Sector Day: 31 July 08

**Platinum Package**  
Register for all 4 days and  
save over \$660!

**Gold Package**  
Register for 3 days and  
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Please complete sections A, B, C, D

Conference code: PD5908 ABN: 70 001 002 357

**A**

Please tick your selection in the boxes below	Early bird price (expires 9 June)		Standard price (after 9 June)	
	Price	Total	Price	Total
<input type="checkbox"/> Platinum Four Day Package - All four days	\$3,053.64 + GST	\$3,359.00	\$3,508.18 + GST	\$3,859.00
<input type="checkbox"/> Gold Three Day Package - Risk Conference days 2 and 3 + either <input type="checkbox"/> Day 1 or <input type="checkbox"/> Day 4	\$2,508.18 + GST	\$2,759.00	\$2,808.18 + GST	\$3,089.00
<b>Individual Registration Price</b>				
<input type="checkbox"/> Risk Management Conference 2008 - Day 2 and 3	\$1,853.64 + GST	\$2,039.00	\$2,008.18 + GST	\$2,209.00
<input type="checkbox"/> Risk Management for the Public Sector - Day 4	\$953.64 + GST	\$1,049.00	\$1,108.18 + GST	\$1,219.00
<input type="checkbox"/> Workshop - Day 1	\$853.64 + GST	\$939.00	\$1,008.18 + GST	\$1,109.00

### SUPPORTING ORGANISATION DISCOUNT

- Yes I am entitled to a 10% discount as a member of Business Continuity Institute
- Yes I am entitled to a 10% discount as a member of Australasian Compliance Institute
- Membership number: \_\_\_\_\_

### CONFERENCE RESOURCES

- I am unable to attend but would like to purchase a set of conference papers for the 5th annual Risk Management conference Sydney, \$300.00 + GST = \$330.00

**B**

### Delegate 1 details

Mr/Ms/Dr: \_\_\_\_\_  
First name Last name

Position: \_\_\_\_\_

Organisation: \_\_\_\_\_

Postal address: \_\_\_\_\_  
Suburb Postcode State

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email (required\*\*): \_\_\_\_\_

### Delegate 2 details

Mr/Ms/Dr: \_\_\_\_\_  
First name Last name

Position: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email (required\*\*): \_\_\_\_\_

### Delegate 3 details

Mr/Ms/Dr: \_\_\_\_\_  
First name Last name

Position: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email (required\*\*): \_\_\_\_\_

\*\* to send conference confirmation

**3rd Delegate FREE!**

**G**

### Please indicate which areas you specialise in (tick as many as possible):

- |  |   |
|--|---|
| <input type="checkbox"/> Banking and Finance           | <input type="checkbox"/> Environment and planning                   |
| <input type="checkbox"/> Commercial litigation and ADR | <input type="checkbox"/> Insolvency and restructuring               |
| <input type="checkbox"/> Corporate and commercial law  | <input type="checkbox"/> Insurance and risk                         |
| <input type="checkbox"/> Criminal Law                  | <input type="checkbox"/> Property                                   |
| <input type="checkbox"/> Energy and resources          | <input type="checkbox"/> Workplace relations, employment and safety |
|  | <input type="checkbox"/> Other: _____                               |

This will help us keep you informed about topics relevant to your business needs.

**D**

### Payment details Payment is due upon registration

- Enclosed is my cheque for: \$ \_\_\_\_\_ made payable to LexisNexis
- Pay by credit card: Please charge \$ \_\_\_\_\_ to
- Mastercard     Visa     American Express
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- Name of cardholder: \_\_\_\_\_
- Signature of cardholder: \_\_\_\_\_
- Charge to my LexisNexis account: \$ \_\_\_\_\_
- Account number: \_\_\_\_\_
- Signature: \_\_\_\_\_
- Name of Approving Manager: \_\_\_\_\_

### VENUE DETAILS

**28 - 31 July 2008**  
**Sydney Harbour Marriott**  
**30 Pitt St, Circular Quay**  
**Sydney NSW 2000**  
**Tel: 02 9259 7000 Fax: 02 9252 2352**

### PROGRAM CHANGES

Details regarding this conference were confirmed and correct at the time of printing. LexisNexis reserves the right to cancel or amend the conference details at any time if required.

### CANCELLATION

Your registration will be confirmed in writing when full payment is received. We will refund your registration in full less a \$165 administration fee if notification is received in writing by 20 May 2008. If we receive written notification between 21 May 2008 and 3 June 2008 you will receive a 50% refund and conference documents. No cancellation requests will be accepted after 3 June 2008. You may nominate a replacement, however no refund will be issued.

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