



9th Annual Risk Management Masterclass

Mitigate and monitor risks in ambiguous economic times

14 August 2012, Stamford Plaza Melbourne

16 August 2012, The Grace Sydney

Speakers

Victoria

- **Grant Purdy**, Associate Director, **Broadleaf Capital International**
- **Michael Vincent**, Chairman, **Assurcorp**
- **Ken May**, Chairman & CEO, **Business Risk**
- **Dr. Ulysses Chioatto**, Director, **SSAMM Management Consulting**
- **Joerg Schmitz**, Head of Risk Consulting, **Aon Global Risk Consulting**
- **Earl Eddings**, Managing Director, **Greencap Limited**

New South Wales

- **Michael Vincent**, Chairman, **Assurcorp**
- **Dr. Ulysses Chioatto**, Director, **SSAMM Management Consulting**
- **Robert Oldfield**, Group Risk and Assurance Manager, **PMP Ltd**
- **Royce Brennan**, General Manager of Risk, **BT Financial Group**
- **Mark Lindfield**, Special Counsel, **DibbsBarker**
- **Grant Katz**, General Manager, Risk and Assurance, **Metcash**

Program highlights

- Create survival strategies to manage risk in an uncertain economic future
- Successfully integrate enterprise risk management, audit assurance and controls for consistent and improved strategic and operational outcomes
- Effectively entrench a cultural approach to your company's risk management or GRC functions
- Establish risk policy and ensure success with engagement from the top

Advanced price discount expires 22 June 2012

Endorsed by:

AON

ARPI

Product of:

 **LexisNexis**[®]

 **DIBBSBARKER**

Register Today!

Visit: www.lexisnexis.com.au/pd



9th Annual Risk Management Masterclass

Mitigate and monitor risks in ambiguous economic times

14 August 2012 – Melbourne

8:30 Registration

9:00 Opening remarks from the Chair

Grant Purdy, Associate Director, **Broadleaf Capital International**

9:10 Panel keynote: Survival strategies for managing risk in an uncertain economic future

The world and mankind face a period of unprecedented uncertainty. Many of the issues that caused the GFC were not resolved and old habits in the financial markets 'die hard'. Then there is climate change, peak oil, religious intolerance and fundamentalism, global food shortages and imbalances and a human population that in parts of the world is still 'exploding'.

Without trying to solve the problems of the world, the panel will discuss the role for risk management in helping our organisations and our species survive and thrive. Specifically it will consider:

- What are the macro threats and opportunities that Australian organisations face now?
- How can we attain greater resilience to uncertainty while becoming more agile to deal with change?
- Are we sufficiently prepared for major disruptions?
- How should Australians and Australian organisations respond now?
- What role should risk management professionals play?

Facilitator:

Grant Purdy, Associate Director, **Broadleaf Capital International**

Panel:

Michael Vincent, Chairman, **Assurcorp**

Ken May, Chairman & CEO, **Business Risk**

Dr. Ulysses Chioatto, Director, **SSAMM Management Consulting**

STREAM A – Banking, finance and insurance

10:10 Morning tea

10:25 Regulatory risk and the financial services sector

- Identifying regulatory risks
- Regulatory risks facing the banking and finance industry
- Insurance and related strategies as regulatory risk mitigants

TBA

11:10 Risk management frameworks and financial performance

The Aon Risk Maturity Index is an innovative tool designed to capture and assess an organisation's risk management practices and provide participants with immediate feedback in the form of a Risk Maturity Rating and comments for improvement. Using data from the Index, researchers from the Wharton School at the University of Pennsylvania have identified a statistically significant relationship between an organisation's risk management development and its financial performance.

Joerg Schmitz, Head of Risk Consulting at Aon will share insights from the Index including:

- How organisations that rate above average in risk maturity differentiate themselves
- How you can develop an initial roadmap to enhance your risk management framework
- Emerging industry and cultural trends

Joerg Schmitz, Head of Risk Consulting, **Aon Global Risk Consulting**

11:55 Risk management framework: Monitoring and review

- Purpose of monitoring the context and operations
- Monitoring and the three lines of defence
- The difference between auditing and monitoring
- Types of monitoring reviews
- Scope of annual monitoring plan

Michael Parkinson, Director, **KPMG**

14 August 2012, Stamford Plaza Melbourne
16 August 2012, The Grace Sydney

STREAM B – Wholesale and retail, manufacturing and service industries

10:10 Morning tea

10:25 Enterprise risk management – Integration guidelines

- The governance loop (fundamentals and assurance)
- Risk policy framework integration
- The effective use of governance, risk & compliance technologies
- Achieving optimal reporting outcomes

Earl Eddings, *Group Managing Director, Greencap Limited*

11:10 Workshop: Risk profiling in your risk management framework

At this time we are all more sensitive to the risks we face at all levels from: individual projects, through departmental risks, to overall organisational risks. All managers have a responsibility to understand the pattern of risk exposures of the resources and business plans for which they are responsible. All managers develop a risk profile in their own way; it is part of the task of managing. However, how many of us feel confident that we have identified and understood the implications of ALL the risks to which our business is exposed? Can we truly say we are effectively managing the control of the risks to our business if we are unsure about whether we have accurately profiled our risk exposure? This workshop has been designed to enhance the skills of all managers of business plans whether they be in the manufacturing sector, transport and distribution and services including the health sector.

Workshop highlights:

- Identifying stakeholders of your risk profile
- Strategic versus operational risk profiles
- Obtaining your risk profile - both risks and their impacts on your business
- How to use your risk profile to reduce your risk exposure
- Reporting your risk profile to other stakeholders
- Monitoring and managing your risk profile

Ken May, *Chairman & CEO, Business Risk*

12:40 Networking lunch for delegates and speakers

1:40 The role of assurance and audit in enhancing risk management

- The importance of planned, control assurance
- Strategies for continual improvement in risk management
- The role of the auditor and potential conflicts
- Using risk analysis results for audit planning

Grant Purdy, *Associate Director, Broadleaf Capital International*

2:40 Corporate risk culture and your risk management or GRC program: An ace up your sleeve?

- Gain greater value with a cultural approach to your company's risk management or GRC functions
- Effective communications and understanding in your risk management framework
- Enhancing your internal systems; processes and practices toward a more effective risk management environment
- Are you 'sending an unintended message' of risk management requirements through your every day interactions, training, emails, etc

Dr. Ulysses Chioatto, *Director, SSAMM Management Consulting*

3:40 Afternoon tea

3:55 Risk policy versus risk management: Engagement from the top

- The failure of risk management
- Process into compliance
- The human factor
- Ethics
- Policy setting and survival
- The risk policy model

Michael Vincent, *Chairman, Assurcorp*

4:55 End of conference



16 August 2012 – Sydney

8:30 Registration

9:00 Opening remarks from the Chair

Michael Vincent, *Chairman, Assurcorp*

9:10 Panel keynote: Survival strategies for managing risk in an uncertain economic future

The potential impact of the EEC situation and the question of sustainability of China's rapid growth present uncertainty to Australia's two-speed economy. Across various industries, there is a call for survival strategies on how to reinforce risk management in these capricious times. This panel discussion thrashes out the vital concerns many organisations are daunted by, including:

- What are the threats in the short and long term
- How to create sustainability within the business
- The interaction and relationship between networks and systems
- The differences between the components of GRC
- Lead and lag indicators for risk identification
- Engagement of the human in the survival strategies

Facilitator:

Michael Vincent, *Chairman, Assurcorp*

Panel:

Dr. Ulysses Chioatto, *Director, SSAMM Management Consulting*

Robert Oldfield, *Group Risk and Assurance Manager, PMP Ltd*

Andrew Bissett, *Group Manager Risk and Policy, Woolworths Limited*

Royce Brennan, *General Manager of Risk, BT Financial Group*

Who should attend?

- Chief Risk Officers
- Risk Managers
- Finance & Risk Managers
- Compliance Managers
- Risk & Assurance Professionals
- Chief Financial Officers
- Auditors & Internal Auditors
- Risk Consultants
- Company Secretaries

STREAM A – Banking, finance and insurance

10:10 Morning tea

10:25 Risk management framework: Risk and compliance monitoring

- Purpose of risk and compliance monitoring
- Monitoring in the context of three lines of defence
- Relationship with internal and external audit
- Types of monitoring reviews
- Scope of annual monitoring plan

Royce Brennan, *General Manager of Risk, BT Financial Group*

11:10 Risk management frameworks and financial performance

The Aon Risk Maturity Index is an innovative tool designed to capture and assess an organisation's risk management practices and provide participants with immediate feedback in the form of a Risk Maturity Rating and comments for improvement. Using data from the Index, researchers from the Wharton School at the University of Pennsylvania have identified a statistically significant relationship between an organisation's risk management development and its financial performance.

Joerg Schmitz, Head of Risk Consulting at Aon, will share insights from the Index including:

- How organisations that rate above average in risk maturity differentiate themselves
- How you can develop an initial roadmap to enhance your risk management framework
- Emerging industry and cultural trends

Joerg Schmitz, *Head of Risk Consulting, Aon Global Risk Consulting*

11:55 Regulatory risk and the financial services sector

- Identifying regulatory risks
- Regulatory risks facing the banking and finance industry
- Insurance and related strategies as regulatory risk mitigants

Mark Lindfield, *Special Counsel, DibbsBarker*



14 August 2012, Stamford Plaza Melbourne
16 August 2012, The Grace Sydney

STREAM B – Wholesale and retail, manufacturing and service industries

10:10 Morning tea

10:25 Enterprise risk management – Integration guidelines

- The governance loop (fundamentals and assurance)
- Risk policy framework integration
- The effective use of governance, risk & compliance technologies
- Achieving optimal reporting outcomes

James Field, *Managing Director, CompliSpace*

11:10 Risk Management that pays for itself many times over

- Gain value by providing the business exactly what they require
- Easy wins and then expand
- Being proactive, rather than waiting for something to go wrong
- The benefits of keeping things simple - targeted reporting using KRIs and Dashboard reporting
- Turning data into information

Grant Katz, *General Manager, Risk and Assurance, Metcash*

11:55 People risk – Where the rubber meets the road

- What is people risk?
- Organisational culture and consequences for people risk
- People risk management
- Risk management innovation – merging process and people risk considerations

Andrew Bissett, *Group Manager Risk & Policy, Woolworths*

12:40 Networking lunch for delegates and speakers

1:40 Demystifying Risk Management

- The symbiotic relationship amongst risk management, controls, compliance and internal audit
- Understanding and working with these elements that are inherently linked
- Ensuring you have an robust and efficacious corporate governance program

Robert Oldfield, *Group Risk and Assurance Manager, PMP Ltd*

2:40 Corporate risk culture and your risk management or GRC program: An ace up your sleeve?

- Gain greater value with a cultural approach to your company's risk management or GRC functions
- Effective communications and understanding in your risk management framework
- Enhancing your internal systems: processes and practices toward a more effective risk management environment
- Are you 'sending an unintended message' of risk management requirements through your every day interactions, training, emails, etc

Dr. Ulysses Chioatto, *Director, SSAMM Management Consulting*

3:40 Afternoon tea

3:55 Risk policy versus risk management: engagement from the top

- The failure of risk management
- Process into compliance
- The human factor
- Ethics
- Policy setting and survival
- The risk policy model

Michael Vincent, *Chairman, Assurcorp*

4:55 End of conference

Priority registration form

5 easy ways to register

9th Annual Risk Management Masterclass

14 August 2012
Stamford Plaza Melbourne
111 Little Collins Street
Melbourne VIC 3000

16 August 2012
The Grace Sydney
77 York Street
Sydney NSW 2000

Online: www.lexisnexis.com.au/pd
Email: registration@lexisnexis.com.au
Phone: 1800 772 772
Fax: (02) 9422 2338

Mail: Conference Co-ordinator, LexisNexis
Locked Bag 2222, Chatswood Delivery
Centre, Chatswood NSW 2067
or: DX 29590 Chatswood

Please complete sections A, B, C

TAX INVOICE

Conference code: VIC: PD8112 NSW: PD3112

ABN: 70 001 002 357

A Delegate 1 details

Mr/Ms/Dr: _____
First name Last name

Organisation: _____

Address: _____

Position: _____

Telephone: _____

Fax: _____

Email (required**): _____

Delegate 2 details

Mr/Ms/Dr: _____
First name Last name

Organisation: _____

Address: _____

Position: _____

Telephone: _____

Fax: _____

Email (required**): _____

B Event pricing (please tick your selection)

GROUP BOOKING

WEB

Register 3 or more people from the same organisation at the same time and book at the advanced price per person.

EXAMPLE

<input checked="" type="checkbox"/>	Conference	1	\$720 + GST = \$792	\$792
-------------------------------------	------------	---	---------------------	-------

Advanced price (register and pay before 22 June 2012)

Please Select	Options	Qty	Price	Subtotal
	One day conference*		\$720 + GST = \$792	

Standard price (register and pay after 22 June 2012)

Please Select	Options	Qty	Price	Subtotal
	One day conference*		\$800 + GST = \$880	



Conference resources

I am unable to attend but please send me the 9th Annual Risk Management Masterclass conference papers

Qty	Price	Subtotal
	\$180 + GST = \$198	

*I would like to attend:

VIC NSW

*Please select your stream:

Stream A Stream B

* Advanced price, team discounts and any other discount cannot be taken concurrently. Member discounts do not apply to Conference resources.

TOTAL

C Payment details

Payment is due upon registration. This document will be a Tax Invoice/Receipt for GST upon completion of payment.

Enclosed is my cheque for: \$ _____ made payable to LexisNexis

Pay by credit card: Please charge \$ _____ to

Mastercard Visa American Express

Card number: _____/_____/_____/_____

Expiry: ____/____

Name of cardholder: _____

Signature of cardholder: _____

Introducing LexisNexis® Risk & Compliance solutions: Lexis® Diligence

Protect your organisation from risk and reputational damage, and ensure compliance with Australia's Anti-Money Laundering and Counter Terrorism Financing Act 2006, New Zealand, USA, UK and other international laws.

Lexis® Diligence is a fast and intuitive browser-based solution for ad hoc and/or enhanced due diligence tasks, saving you valuable time and resources by reducing the time and cost of conducting searches from multiple services from multiple providers. Quickly check high risk individuals and entities against one of the most authoritative global databases of news, company filings, biographies, international legal cases, sanctions and PEP lists.

Find out more and request a free product demonstration.

Visit www.lexisnexis.com.au/riskandcompliance, email risk@lexisnexis.com.au or call Customer Relations on 1800 772 772.

Where did you hear about this event? _____

Please add me to the LexisNexis Monthly Professional Development email list.



PROGRAM CHANGES

Details regarding this conference were confirmed and correct at the time of printing. LexisNexis reserves the right to cancel or amend the conference details at any time if required.

CANCELLATION

Your registration will be confirmed in writing when full payment has been received. LexisNexis' cancellation policy applies as follows. If cancellation is notified in writing:

- One calendar month or more prior to the first day of event: LexisNexis will refund your registration fee less \$165.00 administration cost.
- Between one calendar month plus one day and 14 days prior to the first day of event: LexisNexis will refund 50% of the fee paid by you and provide you with a copy of event papers.
- 13 days or less prior to the first day of the event: LexisNexis will not refund any part of your fee. However, you may nominate a replacement delegate to attend in your place.

IMPORTANT PRIVACY NOTICE:

The information you provide on this form is collected by Reed International Books Australia Pty Limited for the purposes of processing your registration or enquiry and keeping you informed of upcoming products, services and events. The information is disclosed from time to time to our related bodies corporate for these purposes. The provision of this information by you is voluntary but if you do not provide some or all of the requested information we may be unable to properly process your registration. You have both a right of access to the personal information we hold about you and to ask us to correct it if it is inaccurate or out of date. Photographs/audio visual content may be produced at this event and might be used for future LexisNexis Professional Development collateral. Please direct your enquiries to privacyau@lexisnexis.com.au.

Please note, delegate information will be provided to sponsors.

Please tick here if you do not want sponsors to receive your information

Tick here if you DO NOT wish to receive information about upcoming events