

# riskmanagementconference

THE PREMIER EVENT OF THE YEAR

13-14 AUGUST 2007 | DOCKSIDE | SYDNEY

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# riskmanagementconference

Welcome

It's my pleasure to welcome you to the 4th Annual Risk Management Conference brought to you by *Risk Management* magazine.

There's no doubt that the past four years have brought unprecedented change in the way risk management, compliance and related fields are viewed. At the top end of town, boards and executives have never taken it more seriously and the power and influence of leading risk professionals has never been greater.

It's surprising then that the quality of risk management conferences has not kept pace with these rapid developments. Until now.

The 4th Annual Risk Management Conference will deliver delegates the best quality speakers and sessions available this year. In line with several recent studies identifying the leading sectors in strategic risk management – financial services and energy and resources – our speaker list includes some of the top risk professionals from those sectors.

The *Risk Management* magazine conference will be the only risk conference this year where you can hear from the man who led National Australia Bank's risk management restructure following the 2004 \$360 million foreign exchange rogue trading incidents. We are delighted to announce that Michael Hamar, Chief Risk Officer at NAB – which has won praise from regulators and observers for the re-engineering of its risk processes – will be speaking at the event.

Our keynote address will be delivered by Tony Pooley, Head of Safety, Environment and Risk at Newcrest Mining, who will look at moving risk from a buzzword to a valued process. With recent research from Ernst & Young finding that mining companies are embracing the advantages of risk management at close to double the rate of their corporate peers, this session looks set to give the inside track on adding value through risk.

We are also delighted to welcome our international keynote speaker, Keith Dastur, Managing Director and Chief Operational Risk Officer at Citigroup Global Wealth Management, Asia-Pacific. Keith, who is based in Singapore, will cover a topic that's occupying the minds of many risk professionals at present – linking risk management to performance and KPIs.

Additionally, our line-up includes Kieran McKenna, Head of Operational Risk, Retail and Wealth Management at St George Bank, on measuring and benchmarking risk; Gerold Knight, Group Manager, Security and Fraud Risk at Coca-Cola Amatil, on integrating risk throughout your organisation; and Grant Whitehorn, Director, Enterprise Risk Management, at the Department of Defence, on implementing ERM in Defence.

There is nowhere else you will be able to access a speaker line-up of this quality and seniority in Australia this year, so if you're serious about risk management and want the tools to improve its effectiveness in your organisation, this is a must-attend event.

I look forward to seeing you at the conference.



**Stuart Fagg**


EDITOR | RISK MANAGEMENT MAGAZINE

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www.riskmanagementmagazine.com.au RISK ASSET COMPLIANCE

**8.30 CONFERENCE REGISTRATION**

**9.00 OPENING REMARKS FROM THE CHAIR**

MICHAEL VINCENT, SENIOR LECTURER DEPARTMENT OF ACCOUNTING AND FINANCE, MONASH UNIVERSITY

<b>keynoteaddress</b>	<p><b>9.10 A YEAR OF MOVING FROM BUZZWORD TOWARDS VALUED PROCESS</b></p> <p>Risk management is more than just an industry buzzword; it is a necessary business process that is becoming more and more valued in organisations throughout the world. Hear from Newcrest Mining, one of the world's leading resource companies, discuss how they changed risk management from an idea into reality.</p> <ul style="list-style-type: none"> <li>• Why risk management has been the flavour of the month but not moved forward</li> <li>• How to gain organisational buy-in from your organisation</li> <li>• Risk management – what works in practice</li> </ul> <p>TONY POOLEY, HEAD OF SAFETY ENVIRONMENT AND RISK, NEWCREST MINING</p>
	<p>Tony Pooley, Head of Safety, Environment and Risk, Newcrest Mining, is a qualified mechanical engineer and spent the first half of his career in the design and management of major oil and gas projects. In the second half of his career, Tony has specialised in risk management having developed a number of risk processes that are in common use today. Tony also holds an adjunct position as Associate Professor at the University of South Australia.</p> 

**9.50 ALIGNING RISK MANAGEMENT TO YOUR BUSINESS STRATEGY**

How do you align your risk management goals, principals and ideals to the overall direction of your business? In this practical session you will hear how to:

- Identify your organisational risk profile and develop an effective strategy
- Recognise the link between risk and corporate governance
- Align the risk management cycle with both the business planning and budget cycle
- Overcome challenges to incorporating risk and business planning
- Monitor the success of implementation

MICHAEL VINCENT, SENIOR LECTURER, DEPARTMENT OF ACCOUNTING AND FINANCE, MONASH UNIVERSITY

**10.30 MORNING TEA**

<b>casestudy</b>	<p><b>11.00 DRIVING EXCELLENCE THROUGH YOUR RISK MANAGEMENT</b></p> <p>The Department of Primary Industry and Resources South Australia (PIRSA) has developed an exceptional risk management program that incorporates a whole of business approach to risk management. In this cutting edge case study, learn how:</p> <ul style="list-style-type: none"> <li>• PIRSA developed their exceptional risk management strategy</li> <li>• PIRSK – the system</li> <li>• PIRSA's strategy incorporates risk standard AS/NZS 4360 and links to the Australian Business Excellence Framework</li> <li>• The risk strategy links to business objectives</li> </ul> <p>PETER BRASS, GENERAL MANAGER, RISK MANAGEMENT &amp; AUDIT, THE DEPARTMENT OF PRIMARY INDUSTRIES AND RESOURCES, SOUTH AUSTRALIA</p>
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<b>jointperspectives</b>	<p><b>11.40 IMPLEMENTATION OF RISK MANAGEMENT INTO YOUR CORPORATE CULTURE</b></p> <p>Driving acceptance of strategic risk management into an organisation is as complex as constructing the original strategy. In this special split session delegates will be able to hear two perspectives, that of the Institute of Internal Auditors and that of Bankstown City Council. This session highlights:</p> <ul style="list-style-type: none"> <li>• The importance of a risk culture</li> <li>• How to implement systems to identify, measure and monitor risk</li> <li>• The importance of risk awareness in all departments, operational levels at the Board</li> <li>• Examples of how to influence risk perceptions</li> <li>• The significance of accountability in risk management</li> </ul> <p>RUSSELL DALLY, RISK MANAGEMENT COORDINATOR, BANKSTOWN CITY COUNCIL GARY ANDERSON, PRESIDENT, INSTITUTE OF INTERNAL AUDITORS</p>
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**12.30 NETWORKING LUNCH FOR SPEAKERS AND DELEGATES**

**1.20 MEASURING AND BENCHMARKING RISK – THE CORNERSTONE OF EFFECTIVE STRATEGIC RISK MANAGEMENT**

While benchmarking remains a problem, those at the more sophisticated end of the risk spectrum are establishing the value of risk. The need for quantification is clear. In this session:

- Understand the need to quantify risk – what are we quantifying?
- Discuss the increasing quantitative approach to risk management
- Discover Economic Capital/Operational Risk – the CFO is interested!
- Use measurement and metrics to influence culture and action

KIERAN MCKENNA, HEAD OF OPERATIONAL RISK – RETAIL & WEALTH MANAGEMENT, ST GEORGE BANK

**2.00 INTEGRATING RISK MANAGEMENT THROUGH YOUR ORGANISATION – EXAMINING THE BEST PRACTICE GUIDE TO ENTERPRISE RISK MANAGEMENT**

Effective Enterprise Risk Management (ERM) will only be truly realised in an organisation that implements seamless risk management as part of its core business processes and systems.

- Designing an ERM framework and governance structure
- Integrating risk management into the enterprise-wide management process
- Identifying multiple cross-enterprise risks
- Employing successful ERM techniques

GEROLD KNIGHT, GROUP MANAGER - SECURITY AND FRAUD RISK, COCA-COLA AMATIL

**2.40 AFTERNOON TEA**

**3.00 HOW TO MEASURE MANAGE AND MINIMISE ECONOMIC CRIME IN YOUR ORGANISATION**

Economic crime is the world's largest criminal phenomena. White collar crime, fraud and corruption place organisations at greater risk daily. In this session learn:

- Tools and methods to measure and manage the effects of economic crime
- Corporate governance, values management, codes of conduct, corporate compliance programs and regulations and controls as key tools to prevent economic crime
- Building a culture of internal investigation practices that ensure the implementation of guidelines, the consultation of external lawyers, forensic investigations and insurance
- Reinforcing expectations of corporate behavioural standards as a risk mitigant

FRED GERE, SUPERINTENDENT, WESTERN AUSTRALIA POLICE

**3.40 DEVELOPING THE SKILLS TO BECOME A CHIEF RISK OFFICER**

Michael Hamar, Group Chief Risk Officer, National Australia Bank certainly has the expertise required to become the Chief Risk Officer in Australia's leading financial institution. Michael is not only a leader in risk management but credited for the re-engineering of the NAB risk process. This is your opportunity to discover what it takes to make it to the top. In this session, Michael will outline the skills, experience and knowledge set that you need to make it to Chief Risk Officer in all aspects of risk, from strategy through to people management.

MICHAEL HAMAR, GROUP CHIEF RISK OFFICER, NAB

**4.30 OPEN FORUM**

Our expert panel of speakers will provide delegates with the opportunity to discuss the day's topics in a more relaxed format. Ask questions on any aspect of risk management that may have arisen as a result of the day's sessions or from your own organisation. This is your chance to really get to the heart of those risk management issues you've always wanted to ask.

**5.00 CLOSING REMARKS FROM THE CHAIR**

**5.10 CLOSE OF DAY ONE AND NETWORKING FUNCTION**



Your 4th Annual Risk Management Conference registration includes a complementary ticket to the networking function for delegates and speakers on Thursday evening, 13 August 2007. We invite you join us and take up this wonderful opportunity to meet new contacts and re-establish old acquaintances.

**9.00 OPENING REMARKS FROM THE CHAIR**

*SID LEVETT, FORMER GENERAL MANAGER RISK, AMCOR*

<b>leading international insight</b>	<p><b>9.10 LINKING RISK MANAGEMENT TO PERFORMANCE AND KPIS</b></p> <p>Organisations concentrate on the threat and hazard side of risk without regard for the aspects related to opportunity. Businesses with a sophisticated risk management perspective understand that risk also encompasses the potential for greater gain.</p> <ul style="list-style-type: none"> <li>• Embedding risk metrics into KPIs of the organisation</li> <li>• Benefits and cost of risk and share price</li> <li>• How to best measure results and what can be learned from measurement</li> </ul> <p><i>KEITH DASTUR, CHIEF OPERATIONAL RISK OFFICER FOR ASIA-PACIFIC, CITIGROUP PRIVATE BANK</i></p>
	<p>Keith Dastur, Chief Operational Risk Officer, Citigroup Private Bank, Hong Kong joined Citibank in Canada in 1976 and has held various positions with the bank in Hong Kong, the Philippines and Indonesia. For the past 16 years, he has held various Division responsibilities and Country Head positions and was the Singapore Investment Centre Head and the Chief Operating Officer for CPB-APME, prior to his current appointment. Mr Dastur is a graduate in both Commerce and Laws and is also a Chartered Accountant.</p> 

**10:00 MANAGING YOUR REPUTATIONAL RISKS**

Externally, organisations rely on the strength of their reputation to maintain customers and drive market share, to maintain their position at the top. Internally reputation drives performance and job satisfaction. Managing this important component of the risk strategy is key to successful execution. In this session, learn to:

- Identify reputational risks
- Recognise cause and effect and assess the consequences
- Cover the stakeholders
- Analyse stages of the response and discuss the follow up

*ALAN BROWN, REGIONAL MANAGER-RISK, AUSTRALASIA, AIG*

**11.00 MORNING TEA**

<b>panel discussion</b>	<p><b>11:30 TRADITIONAL BUSINESS CONTINUITY VS. PANDEMIC MANAGEMENT</b></p> <p>The past few years have been characterised by major change as a host of new threats raised the profile of business continuity. Globally, events such as September 11, the London bombings, SARS and the threat of an avian flu pandemic have sharpened focus on the changing nature of business continuity.</p> <p><i>KEITH DASTUR, CHIEF OPERATIONAL RISK OFFICER FOR ASIA-PACIFIC, CITIGROUP PRIVATE BANK</i></p> <p><i>ANDREW MEAD, DIRECTOR RISK MANAGEMENT AND AUDIT, AUSTRALIAN NATIONAL UNIVERSITY</i></p> <p><i>SID LEVETT, FORMER GENERAL MANAGER RISK, AMCOR</i></p>
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**12:20 BEYOND DOWNSIDE – MAXIMISING THE UPSIDE OF RISK**

What is opportunity risk management? Risk management is too often about what can go wrong in an organisation. In this session, instead of planning for disaster, you will learn how to harness risk opportunities and use them to your advantage:

- Journey of risk management, leading to opportunity and upside risk management
- Using risk methodology to maximise opportunities
- Identifying the relationship between value and risks and managing value leakage
- Why is this necessary, what are the drivers and what are the returns?

*ROBERT OLDFIELD, GROUP RISK OFFICER, GROUP RISK & COMPLIANCE, QBE INSURANCE*

**1.10 NETWORKING LUNCH FOR SPEAKERS AND DELEGATES**

<b>case study</b>	<p><b>2.10 MANAGING RISK IN A RISKY BUSINESS – IMPLEMENTING ERM IN DEFENCE</b></p> <p>The Australian Defence Force (ADF) is at the front-line of Australia's protection from foreign threats. The ADF cannot afford to have ineffective risk management strategies. In this cutting edge case study from Australia's largest government organisation, learn:</p> <ul style="list-style-type: none"> <li>• The Defence Force risk management strategy</li> <li>• The challenges of implementing ERM in a large diverse and complex organisation</li> <li>• Defence ERM, policy strategy and framework</li> <li>• How to utilise risk appetite and risk tolerance as decision-making tools</li> <li>• The next steps of the defence ERM journey</li> </ul> <p><i>GRANT WHITEHORN, DIRECTOR ENTERPRISE RISK MANAGEMENT, AUSTRALIAN DEPARTMENT OF DEFENCE</i></p>
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**3.00 AFTERNOON TEA**

<b>panel discussion</b>	<p><b>3.30 AUDIT, COMPLIANCE AND RISK MANAGEMENT – RIVALS OR ALLIES?</b></p> <p>The role of internal and external audit is often limited to reviews of compliance with risk management policy and procedures. Business units can be reluctant to accept the need to proactively manage risk, especially if they are under pressure to contain or reduce expenditure.</p> <ul style="list-style-type: none"> <li>• Who is accountable for risk, governance and compliance?</li> <li>• What are the challenges of assimilating internal audit with risk management?</li> <li>• Is it desirable to have a framework which combines risk-based and control-based assessment?</li> </ul> <p><i>DR LEN GAINSFORD, DIRECTOR AUDIT AND ASSURANCE, DEPARTMENT OF INFRASTRUCTURE – VICTORIA</i></p> <p><i>IAN PRIMROSE, FORMER CHIEF EXECUTIVE OFFICER, INDEPENDENT COMPETITION AND REGULATORY COMMISSION</i></p> <p><i>BILL FRASER, INTERNAL AUDIT MANAGER, CANBERRA, ACT</i></p>
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**4.30 CLOSING REMARKS FROM THE CHAIR**

**4.40 CLOSE OF CONFERENCE**



## speakerprofiles

**GARY ANDERSON** President, the Institute of Internal Auditors, was previously the President of the Information Systems and Control Association. He is one of the leading proponents of sound internal audit and risk management in Australia over the past 25 years. This includes project work on key projects with major organisations in Australia and Asia-Pacific, including General Motors, Holden, National Bank Australia, Samsung, AMP, Colliers Jardine, Westpac and the Victorian Government.

**PETER BRASS** General Manager, Risk Management & Audit, Primary Industries and Resources, SA has been in risk management since 1989, having worked for Elders IXL, Fosters Brewing Group, Elders Australia and Adelaide Brighton. He has also carried out various consulting assignments for Radio Rentals, Boral Energy and RAA Insurance. Peter was ARIMA Risk Manager of the Year for 2003 and received this award in December 2003 for the PIRIRISK system. Peter is also a Director on the RMIA Board and is the current Vice-President and Chair of the Audit & Risk Committee.

**ALAN BROWN** Regional Manager – Risk, American Home Assurance Company (AIG Australia), has been in the insurance industry for 30 years. He has wide experience in claims, underwriting and general management and lists among his achievements setting up and running a greenfield general insurance operation in Sri Lanka. For the last five years he has been managing the risk management framework for AIG Australia.

**RUSSELL DALLY** Risk Manager, Bankstown City Council, has been the risk manager for over two years. Russell's responsibilities include all insurance portfolios, which includes holding the self-insurer's licence for Workers Compensation. Russell has held similar positions in various other councils. Russell has also been a serving member of the Royal Australian Navy, a fraud Investigator with a large insurer and also ran his own investigation business. Russell holds formal qualifications in risk management, investigation and accounting.

**FERDINAND (FRED) GERE** Superintendent, Corruption Prevention & Investigation Portfolio Corporate Risk Management Division, Western Australia Police with 34 years of police experience in the operational and management environment, ranging from being one of the first undercover officers in the WA Police to currently managing the Central Metropolitan District. He has been an excellent ambassador for the WA Police at a local, national and international level and holds an Executive Diploma in Management Studies from Edith Cowan University.

**MICHAEL HAMAR** joined NAB in December 2004. He is Group Risk Officer and a member of the Group Executive Committee. Prior to joining NAB he was, for two years EGM Risk Management, Institutional Banking Services, CBA. Michael joined CBA after a 20-year career with JPMorgan Chase and Co in New York, Sydney, London and Hong Kong.

**GEROLD KNIGHT** Group Manager, Security and Fraud Risk, Coca-Cola Amatil, is responsible for leading the operational risk, business continuity, security and fraud strategies for the business. Prior to joining CCA in 1999, he held security roles in the Commonwealth Government and was a NSW Police Officer for almost 13 years. He holds a Bachelor of Arts and a Master of Risk Management degree from Monash University.

**ROBERT OLDFIELD** Group Risk Officer, Group Risk and Compliance, QBE Insurance, has held senior management positions within a number of organisations, including: the world's largest hotel chain, a major international pharmaceutical company and several financial service organisations. Robert has developed and implemented both local and global risk management programs exposing him to numerous regulatory environments. Robert has been heavily involved in the development and management of security risk management and business continuity programs. In addition to risk management program at QBE, he is responsible for the group business continuity management program.

**MICHAEL VINCENT** Senior Lecturer, Department of Accounting and Finance, Monash University, was the foundation director of the Australasian Risk Management Unit at Monash and designed and wrote the course doctrine and outlines presently offered from undergraduate to masters' level. His pioneering of formal integrated risk management education within Australia and internationally is the envy of risk management organisations and universities in Europe, the UK and North America, as well as other Australian Universities.

**GRANT WHITEHORN** Director of Enterprise Risk Management, Department of Defence, has responsibility for implementing an enterprise risk management framework across the organisation encompassing the full spectrum of civilian and military risks. This also includes the ERM policy and guidelines, being the Technical Training Authority for Risk Management in Defence, responsibility for the coordination of risk management education and training across Defence, responsibility for the ongoing management of the ERM framework. Grant also chairs the Defence Risk Management Working Group and is the National President of the RMIA.

*Don't just take our word for it. Here is what your peers had to say about previous Risk Management and Compliance conferences*

*"An excellent conference covering a variety of complex elements"*

NSW COMMERCE

*"Well run and good presenters with good understanding of their subject matter"*

CRANE GROUP

*"Excellent content and program, relevance to all types of industry"*

BLUE CARE

*"Very good content and venue"*

APRA

*"Speakers provided sound information which I will use in my organisation"*

SAVINGS AND LOANS CREDIT UNION

# riskmanagementconference

THE PREMIER EVENT OF THE YEAR

## CONFERENCE DATE AND VENUE

13–14 August 2007  
Dockside  
The Balcony level  
Cocklebay Wharf  
Sydney  
Phone: 02 9261 3777  
Fax: 02 9261 4777  
www.docksidevenue.com.au

## PROGRAM CHANGES

LexisNexis reserves the right to amend the program details at any time and to cancel the event.

## CANCELLATION POLICY

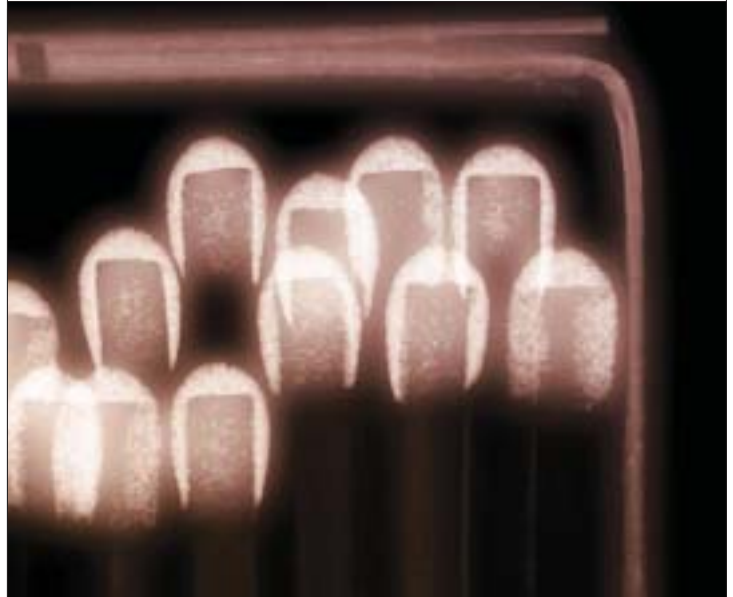
Your registration will be confirmed in writing when full payment is received. We will refund your registration in full less a \$165 administration fee if notification is received in writing by 16 July 2007. If we receive written notification of cancellation between 16 July 2007 and 30 July 2007, you will receive 50% refund and conference documents. No cancellation requests will be accepted after 30 July 2007. You may nominate a replacement, however no refund will be issued.

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- Risk Managers
- Compliance Managers
- Risk and Assurance Professionals
- Chief Financial Officers
- Business Continuity Managers
- Group Risk Officers
- Systems Risk Managers
- Auditors and Internal Auditors
- Information Security Officers
- Finance and Risk Managers
- Chief Risk Officers
- Risk Consultants

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Welcome to *Risk Management Magazine's* annual 2007 Risk Management Conference. Organised by LexisNexis and now into its 4th year, this premier event for the risk industry once again promises to be an invaluable mixture of industry updates and case studies, interactive discussions and networking opportunities.

## Four easy ways to register

**Phone:** 1800 772 772  
**Fax:** (02) 9422 2338  
**Online:** [www.lexisnexis.com.au](http://www.lexisnexis.com.au)

**Mail:** Conference Co-ordinator  
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Chatswood Delivery Centre, Chatswood NSW 2067

### PLEASE COMPLETE SECTIONS A, B AND C

CONFERENCE CODE: PD3007 ABN: 70 001 002 357

#### **YES! I would like to register for the riskmanagementconference**

**A**

##### **EARLY BIRD\* (expires on 13 July 2007)**

Two-Day Conference \$1840 + GST = \$2024

##### **LAST MINUTE PRICE\* (expires on 20 July 2007)**

Two-Day Conference \$1940 + GST = \$2134

##### **STANDARD PRICE**

Two-Day Conference \$2045.45 + GST = \$2250

##### **NETWORKING DRINKS**

Yes I will be attending  No I will not be attending

#### **CONFERENCE RESOURCES**

I am unable to attend but would like to purchase a set of conference papers for Risk Management Conference \$270 + GST = \$297

#### **I WOULD LIKE TO SUBSCRIBE TO**

*Risk Management* magazine (\$99.00 plus GST, 12 month subscription)

#### **TEAM DISCOUNTS\***

1. Register a team of 2 for the Risk Management Conference at the same time, from the same organisation and receive a free pass for the 3rd delegate.

\* Early Bird, team discounts and any other discount cannot be taken concurrently

#### **B PAYMENT OPTIONS**

Enclosed is my cheque for \$ \_\_\_\_\_ made payable to LexisNexis

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#### **C DELEGATE DETAILS** (please photocopy form for additional delegates)

Mr/Ms/Dr: \_\_\_\_\_

Job Title: \_\_\_\_\_

Organisation: \_\_\_\_\_

Postal address: \_\_\_\_\_

Suburb: \_\_\_\_\_ State: \_\_\_\_\_ Postcode: \_\_\_\_\_

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